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Reports for the Executive and Audit Governance Committee June 2007 - April 2008

	TITLE OF REPORT	RESPONSIBLE DIVISION/ REPORT AUTHOR	FIRST DEADLINE FOR REPORTS TO DIRECTOR & CHAIR OR EARLIEST DISTRIBUTION DATE	QCG	MT	EMAP	EBS	EXEC	COMMENTS
1	Sale of Lendal Bridge Sub Station	Property Services Paul Fox	06/05/07		QCG 15/05/2007		EBS 05/06/2007	EXEC 12/06/2007	Deferred from November 2006 Executive Meeting to allow interested parties time to investigate the issues. Deferred also from Exec on 24/04/2007 so as to allow for consideration of financial issues by CAPMOG
2	Easy@york Progress Report & Options for Phase II*	easy@york Project Manager Tracey Carter	28/04/07	RMT 02/05/2007	CMT 16/05/2007		EBS 05/06/2007	EXEC 12/06/2007	Business Cycle
3	Update on Equal Pay Issues*	Finance Steve Morton	01/04/07		QCG 29/05/2007		EBS 05/06/2007	EXEC 12/06/2007	Report at the Request of the Author
4	Corporate Procurement Strategy	Audit & Risk Management Liz Ackroyd/David Walker	28/04/07	RMT 02/05/2007	CMT 16/05/2007	Corporate EMAP 08/06/2007	EBS 19/06/2007	EXEC 26/06/2007	Deferred from November 2006 & March 2007 in consultation with Executive Member
5	Procurement Guidance Manual for Practitioners*	Audit & Risk Management Liz Ackroyd/ David Walker	28/04/07	RMT 02/05/2007	CMT 16/05/2007	Corporate EMAP 08/06/2007	EBS 19/06/2007	EXEC 26/06/2007	Requested by Report Author
6	Revenue Outturn Report*	Finance Janet Lornie/Peter Steed	20/05/07		CMT 13/06/2007		EBS 19/06/2007	EXEC 26/06/2007	Business Cycle

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7	Capital Outturn Report*	Finance Tom Wilkinson	20/05/07		CMT 13/06/2007		EBS 19/06/2007	EXEC 26/06/2007	Business Cycle
8	Treasury Management Outturn Report*	Finance Tom Wilkinson	20/05/07		CMT 13/06/2007		EBS 19/06/2007	EXEC 26/06/2007	Business Cycle
9	The Statement of Accounts (incorporating the Statement of Internal Control)	Finance Janet Lornie/Peter Steed				Audit & Governance Committee 25/06/2007	EBS 19/06/2007	EXEC 26/06/2007	Council 28/06/2007
10	Efficiency Programme (incorporating Strategic Procurement)	Audit & Risk Management Simon Wiles/ Liz Ackroyd/ Peter Steed	16/05/07				EBS 19/06/2007	EXEC 26/06/2007	Report at the Request of the Author Initial discussion held at EBS on 28 November; considered at CMT in late 2006. Deferred from the Executive Meeting of 19/12/2006 to allow for further consultation with other Departments. Deferred also from 16/01/2007, 13/02/2007 & 27/02/2007 & 12/06/2007
11	The Action Plan Arising from the Annual Letter of the District Auditor	Audit & Risk Management Liz Ackroyd	31/05/07	OGG 06/06/2007	CMT 13/06/2007	Audit & Governance Committee 25/06/2007			Business Cycle Deferred from A&G on 03/04/2007 at the request of the District Auditor
12	The Annual Audit & Inspection Plan (Audit Commission) for 06/07 & Outturn Monitoring Report for 05/06	Audit & Risk Management Liz Ackroyd/ Audit Commission	03/06/07		CMT 13/06/2007	Audit & Governance Committee 25/06/2007			Business Cycle Deferred from A&G on 03/04/2007 at the request of the District Auditor

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13	The Annual Report of the Chief Internal Auditor	Audit & Risk Management Liz Ackroyd	15/06/07			Audit & Governance Committee 25/06/2007			Business Cycle
14	The Statement of Internal Control	Audit & Risk Management Max Thomas	15/06/07			Audit & Governance Committee 25/06/2007			Business Cycle
15	Risk Management Policy & Practice	Audit & Risk Management David Walker	17/06/07		CMT 27/06/2007				At CMT's Request
16	Energy and Water Management - Policy and Practice*	Property Services Neil Hindhaugh/ Gary Christie	01/07/07		CMT 11/07/2007		EBS 17/07/2007	EXEC 24/07/2007	Note this is linked to Sustainability in Design Report (below) and will back a report from City Strategy on the Council's Environmental Management System (EMS) all to appear on same agenda
17	Sustainability in Design - Policy and Practice*	Property Services Neil Hindhaugh/ George Sands	01/07/07		CMT 11/07/2007		EBS 17/07/2007	EXEC 24/07/2007	Note this is linked to EWMG Report (above) and will back a report from City Strategy on the Council's Environmental Management System (EMS) all to appear on same agenda
18	Contract Design Management (CDM) Regulations - Implications for CYC*	Property Services Neil Hindhaugh/ Ian Asher	01/07/07		CMT 11/07/2007		EBS 17/07/2007	EXEC 24/07/2007	Requested by Report Author
19	IT Strategy 2007-2012	IT&T Tracey Carter/ Jane Collingwood	01/06/07	RMT 07/06/2007	CMT 27/06/2007		EBS 17/07/2007	EXEC 24/07/2007	Requested by Report Author

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20	Finance Strategy 2008/09 to 2010/11 (including impact of CSR 2007)*	Finance Peter Steed	27/06/07		CMT 04/07/2007		EBS 17/07/2007	EXEC 24/07/2007	Business Cycle
21	Breaches & Waivers of Financial Regulations	Audit & Risk Management Liz Ackroyd/ Richard Smith	14/09/07			Audit & Governance Committee 24/09/2007			Business Cycle
22	Mid-point Review of the Implementation of Internal Audit Service Recommendations (Jan 07 - June 07 Audits)	Audit & Risk Management Richard Smith	14/09/07			Audit & Governance Committee 24/09/2007			Business Cycle
23	Mid-term Client Monitoring Report on the Annual External Audit Plan	Audit & Risk Management Liz Ackroyd	14/09/07			Audit & Governance Committee 24/09/2007			Business Cycle
24	Risk Management Mid Term Monitor	Audit & Risk Management David Walker	14/09/07			Audit & Governance Committee 24/09/2007			Business Cycle
25	Training Plan Proposals for Governance Members	Audit & Risk Management Liz Ackroyd	14/09/07			Audit & Governance Committee 24/09/2007			At Members' Request
26	Monk Bar Garage Future Use of Site	Property Services John Urwin	28/08/07		QCG 04/09/2007		EBS 18/09/2007	EXEC 25/09/2007	Deferred from May, September & October Executive Meetings. Deferred again from the meetings on 31/01/2007, 27/03/2007 and 12/06/2007 in order to consider further development options.

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27	Strategic Risk Register - Annual Report and update on Risk Management Strategy	A&RM David Walker	12/08/07		CMT 22/08/2007	Corporate EMAP 11/09/2007	EBS 18/09/2007	EXEC 25/09/2007	Business Cycle
28	Annual Risk Management Report*	Audit & Risk Management David Walker	12/08/07		CMT 22/08/2007	Corporate EMAP 11/09/2007	EBS 18/09/2007	EXEC 25/09/2007	Business Cycle
29	First Corporate Finance & Performance Monitor	Finance/Janet Lornie PIT/Peter Lowe	09/09/07		CMT 19/09/2007		EBS 02/10/2007	EXEC 09/10/2007	Business Cycle
30	First Capital Monitor	Finance Tom Wilkinson	09/09/07		CMT 19/09/2007		EBS 02/10/2007	EXEC 09/10/2007	Business Cycle
31	Review and Strategy for the Commercial Portfolio*	Property Services Philip Callow/ David Baren	12/08/07		CMT 22/08/2007	Corporate EMAP 11/09/2007	EBS 2/10/2007	EXEC 9/10/2007	Requested by Report Author
32	IT Development Plan 2008/09*	IT&T Tracey Carter	23/09/07		CMT 03/10/2007		EBS 18/10/2007	EXEC 23/10/2007	Business Cycle
33	Mid-Term Monitor on Progress against the Internal Audit & Fraud Plan	Audit & Risk Management Max Thomas	05/01/08			Audit & Governance Committee 15/01/2008			Business Cycle
34	Consultation & Shaping Session on the IAS Strategic Audit Plan	Audit & Risk Management Max Thomas	05/01/08			Audit & Governance Committee 15/01/2008			Business Cycle
35	Second Corporate Performance & Finance Monitor	Finance/Janet Lornie PIT/Peter Lowe	30/12/07		CMT 09/01/2008		EBS 22/01/2008	EXEC 29/01/2008	Business Cycle

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36	Second Capital Monitor	Finance Tom Wilkinson	30/12/07		CMT 09/01/2008		EBS 22/01/2008	EXEC 29/01/2008	Business Cycle
37	Revenue Budget 2007/08 to 2009/10	Finance Peter Steed	13/01/08		CMT 23/01/2008		EBS 05/02/2008	EXEC 12/02/2008	Council 21/02/2008
38	Capital Budget 2007/08 to 2009/10	Finance Tom Wilkinson	13/01/08		CMT 23/01/2008		EBS 05/02/2008	EXEC 12/02/2008	Council 21/02/2008
39	Trrasury Management Policy 2007/08 to 2009/10	Finance Tom Wilkinson	13/01/08		CMT 23/01/2008		EBS 05/02/2008	EXEC 12/02/2008	Council 21/02/2008
40	Annual Audit Letter	Audit & Risk Management Liz Ackroyd/ Alastair Newell	23/02/08		CMT 05/03/2008		EBS 18/03/2008	EXEC 25/03/2008	Business Cycle
41	Bids for Funding from the Council's Venture Fund	Finance Tom Wilkinson	09/03/08		CMT 19/03/2008		EBS 01/04/2008	EXEC 08/04/2008	Business Cycle
42	The Annual Internal Audit Plan 08/09 & Outturn 09/10	Audit & Risk Management Max Thomas	22/03/08			Audit & Governance Committee 01/04/2008			Business Cycle
43	The Annual Outturn Report of OGG (Progress against annual work Plan)	Simon Wiles/ Liz Ackroyd	22/03/08			Audit & Governance Committee 01/04/2008			Business Cycle
44	Mid Point Follow Up Review of Implementation of IAS Audit Recommendations (July 2007- December 2007 Audits)	Audit & Risk Management Richard Smith	22/03/08			Audit & Governance Committee 01/04/2008			Business Cycle

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45	The Action Plan Arising from the Annual Letter of the District Auditor	Audit & Risk Management Liz Ackroyd				Audit & Governance Committee June 2008			Business Cycle
46	The Annual Audit & Inspection Plan (Audit Commission) for 07/08 & Outturn Monitoring Report for 06/07	Audit & Risk Management Liz Ackroyd/ Audit Commission				Audit & Governance Committee June 2008			Business Cycle
47	Mid Term Monitor on Progress against the Internal Audit & Fraud Plan	Audit & Risk Management Max Thomas				Audit & Governance Committee September 2008			Business Cycle
48	First Corporate Finance & Performance Monitor	Finance/Janet Lornie PIT/Peter Lowe			CMT September 2007		EBS October 2007	EXEC October 2008	Business Cycle
49	First Capital Monitor	Finance Tom Wilkinson			CMT September 2007		EBS October 2007	EXEC October 2008	Business Cycle